

# **Simulation of two-state lasing dynamics in quantum-dot lasers**

**L.-C. Su and M.-H. Mao**

**Department of Electrical Engineering**

**Graduate Institute of Electronics Engineering**

**Graduate Institute of Electro-Optical Engineering**

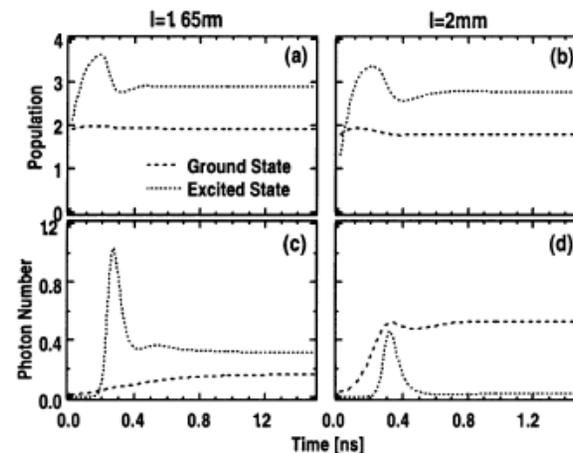
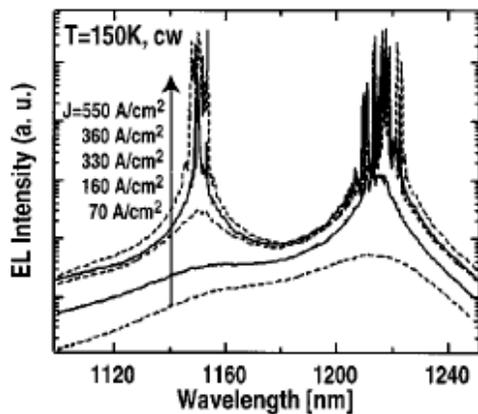
**National Taiwan University**

# Outline

- Introduction
- Theoretical model
  - Rate-equation model
- Simulation results
- Conclusions

# Introduction - previous works

- Steady states
  - With increasing excitation, ground states always achieve lasing first.
  - Simultaneous lasing from GS and ES can occur under some conditions.
- Dynamic
  - Some theoretical predictions were reported.



Ref. A. Markus et. al, Appl. Phys. Lett., 82, 1818 (2003)

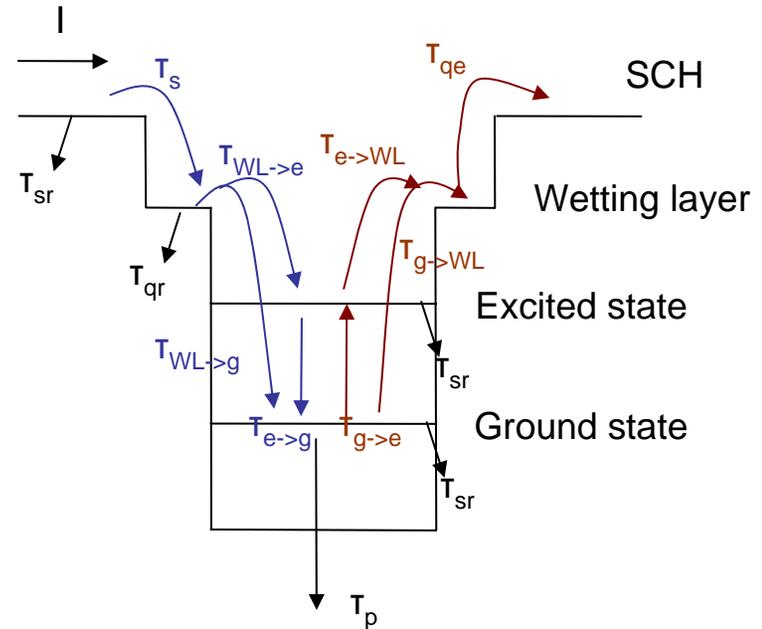
Ref. M. Sugawara et. al, J. Appl. Phys. 97, 0435243 (2005)

Ref. A. Markus et. al, IEEE J. Select. Top. Quantum

Electron. 9, 1308 (2003)

# Simulation model

- Include
  - Four energy levels
  - Carrier thermal escape effect
  - Homogeneous Broadening
  - Inhomogeneous Broadening



# Simulation model (cont.)

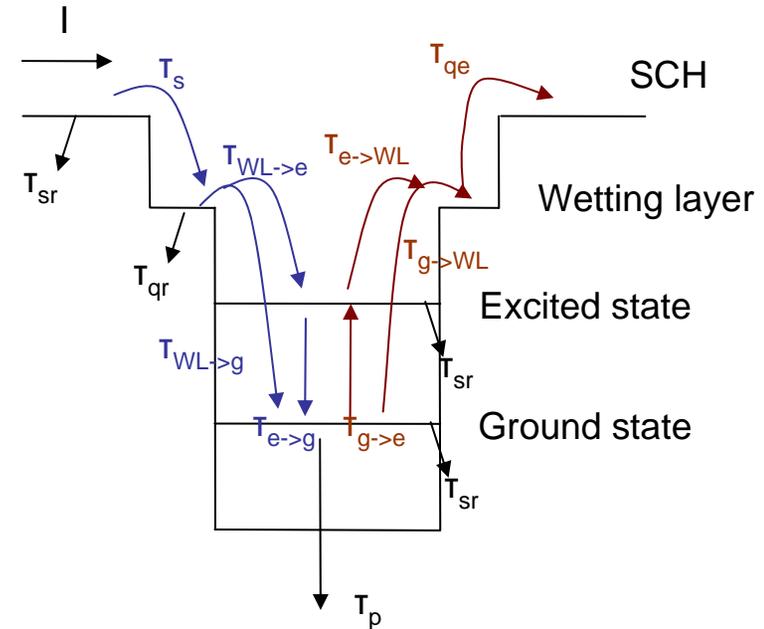
$$\frac{dN_s}{dt} = \frac{I}{q} - \frac{N_s}{\tau_s} - \frac{N_s}{\tau_{sr}} + \frac{N_q}{\tau_{qe}}$$

$$\frac{dN_q}{dt} = \frac{N_s}{\tau_s} + \sum_n \frac{N_{e,n}}{\tau_{e,n \rightarrow w}} + \sum_n \frac{N_{g,n}}{\tau_{g,n \rightarrow w}} - \frac{N_q}{\tau_{w \rightarrow g}} - \frac{N_q}{\tau_{w \rightarrow e}} - \frac{N_q}{\tau_{qr}} - \frac{N_q}{\tau_{qe}}$$

$$\frac{dN_{g,n}}{dt} = \frac{N_q G_{g,n}}{\tau_{w \rightarrow g,n}} + \frac{N_{e,n}}{\tau_{e,n \rightarrow g,n}} - \frac{N_{g,n}}{\tau_{rn}} - \frac{N_{g,n}}{\tau_{g,n \rightarrow w}} - \frac{N_{g,n}}{\tau_{g,n \rightarrow e,n}} - \frac{c\Gamma}{n_r} \frac{\sum_m g_{g,mn} S_m}{1 + \frac{\epsilon\Gamma}{V_A} \sum_m S_m}$$

$$\frac{dN_{e,n}}{dt} = \frac{N_q G_{e,n}}{\tau_{w \rightarrow g,n}} + \frac{N_{g,n}}{\tau_{g,n \rightarrow e,n}} - \frac{N_{e,n}}{\tau_{rn}} - \frac{N_{e,n}}{\tau_{e,n \rightarrow w}} - \frac{N_{e,n}}{\tau_{e,n \rightarrow g,n}} - \frac{c\Gamma}{n_r} \frac{\sum_m g_{e,mn} S_m}{1 + \frac{\epsilon\Gamma}{V_A} \sum_m S_m}$$

$$\frac{dS_m}{dt} = \beta B N_{g,n}^2 + \beta B N_{e,n}^2 + \frac{c\Gamma}{n_r} \frac{\sum_n (g_{g,mn} + g_{e,mn}) S_m}{1 + \frac{\epsilon\Gamma}{V_A} \sum_m S_m} - \frac{S_m}{\tau_p}$$



# Simulation model (cont.)

- Photon lifetime

$$\tau_p^{-1} = \frac{c}{n_r} \left[ \alpha_i + \left( \frac{1}{2L_{ca}} \right) \ln \left( \frac{1}{R_1 R_2} \right) \right]$$

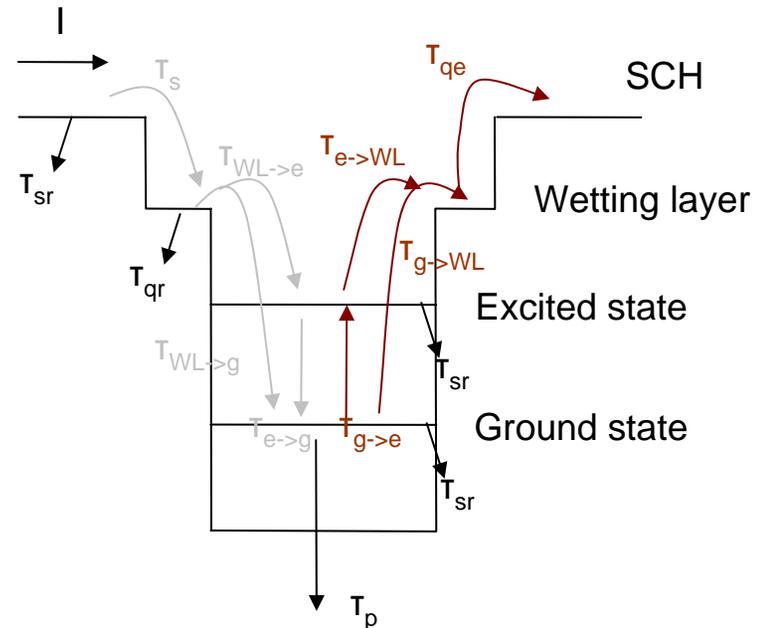
- Carrier lifetime

$$\tau_{rn}^{-1} = A + BN + CN^2$$

- Optical gain modal

$$g_{mn} = a_0 (2P_n - 1) \underbrace{G_n}_{\text{Homogeneous broadening function (Lorentz distribution)}} \underbrace{B_{cv}}_{\text{Inhomogeneous broadening function (Gaussian distribution)}} (E_m - E_n)$$

Homogeneous broadening function (Lorentz distribution)  
Inhomogeneous broadening function (Gaussian distribution)



# Simulation model (cont.)

- Consider (Pauli exclusion principle)

$$P_{n,j} = \frac{N_{j,n}}{2D_j N_D V_A G_n}$$

↑ occupation probability

$$\tau_{e \rightarrow g}^{-1} = \tau_{0,e \rightarrow g}^{-1} (1 - P_{n,g})$$

↑ relaxation time from ES to GS

$$\tau_{WL \rightarrow g}^{-1} = \tau_{0,WL \rightarrow g}^{-1} (1 - P_{n,g})$$

↑ capture time from WL to GS

$N_{j,n}$ : the number of filled carrier in j state

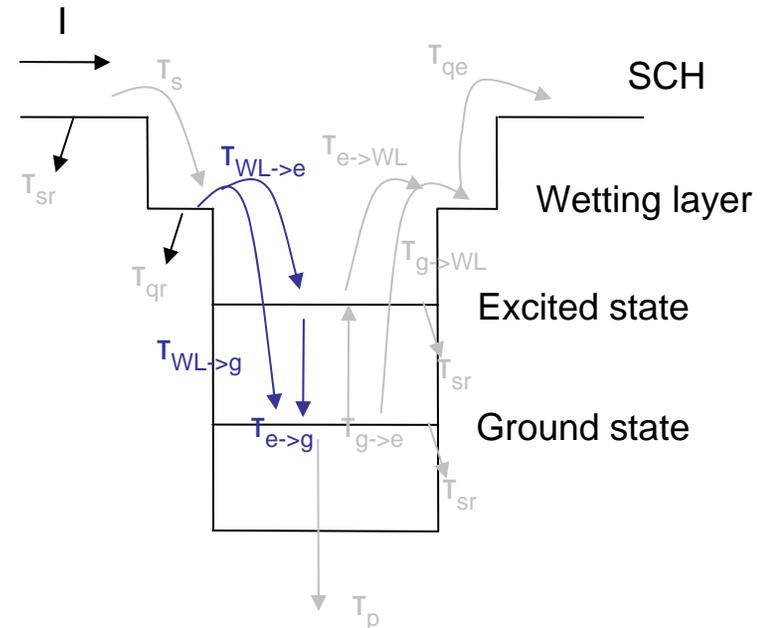
$N_D$ : total number of quantum dots

$D_j$ : degeneracy for j state

$V_A$ : total volume of active region

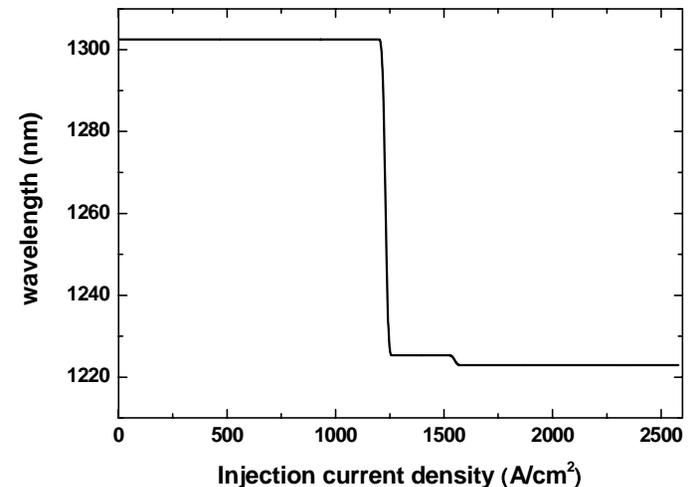
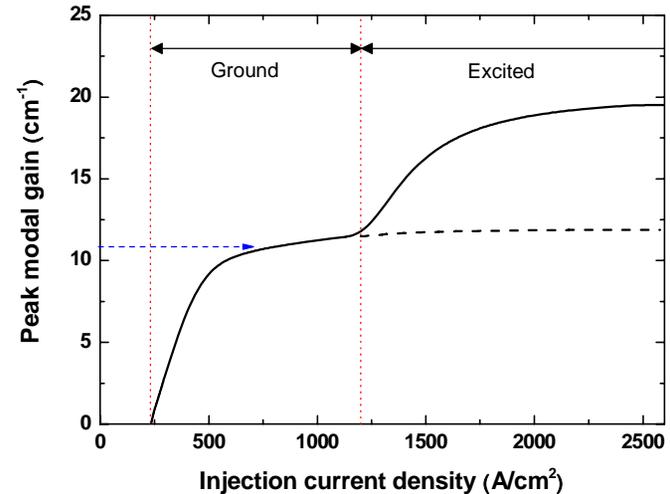
$G_n$ : inhomogeneous broadening function

$j$ : g or e (ground state or excited state)



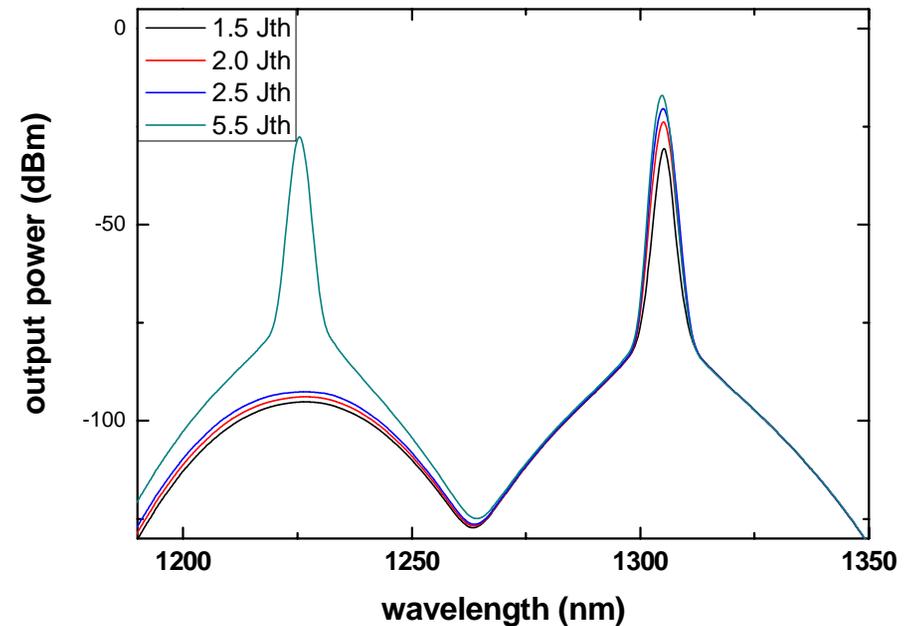
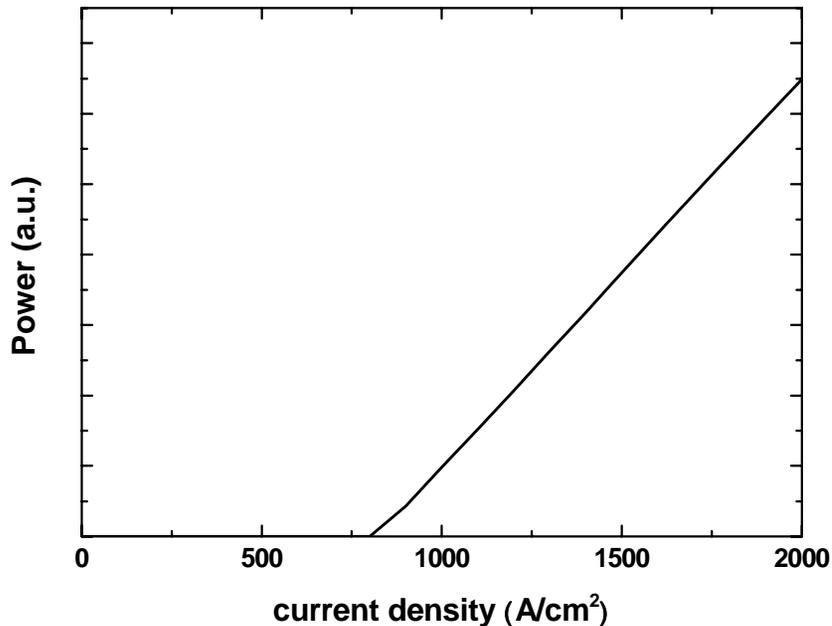
# Simulation condition

- Ground-state gain will saturate.
- When optical loss is larger than ground-state peak modal gain ( $11.5 \text{ cm}^{-1}$ ), this QD laser diode will lase from ES.
- In our simulation, the optical loss is assumed  $10.9 \text{ cm}^{-1}$ .



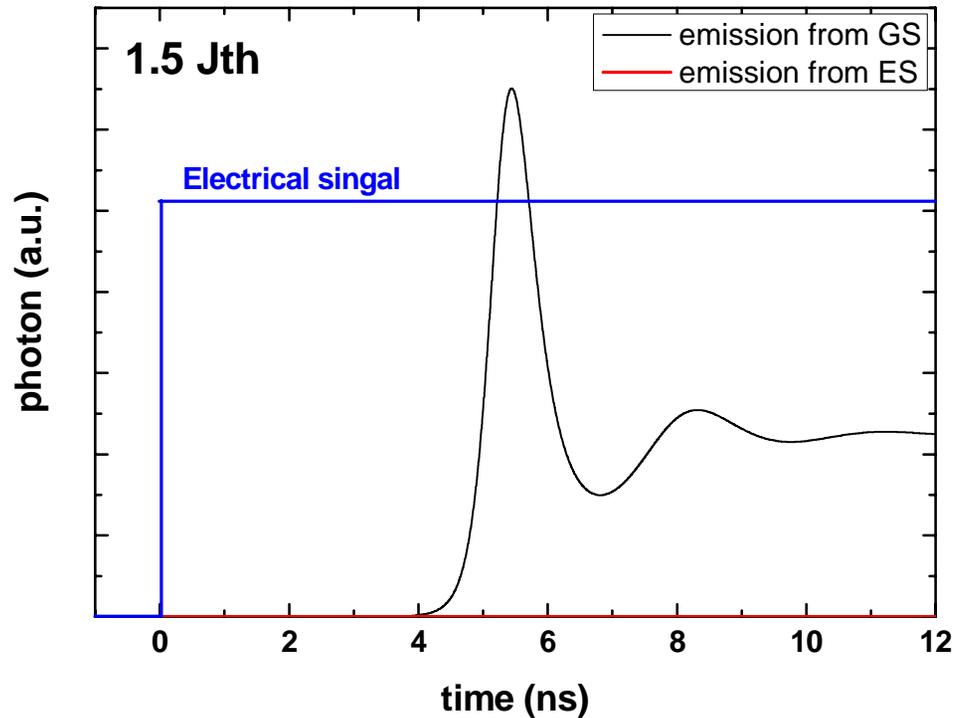
# Theoretical results (I)

- Static properties of two-state lasing in quantum dot lasers
- Threshold current density  $J_{th}$  : 810 A/cm<sup>2</sup>
- Under  $1.5 J_{th}$ ,  $2.0 J_{th}$  and  $2.5 J_{th}$ , no lasing from ES



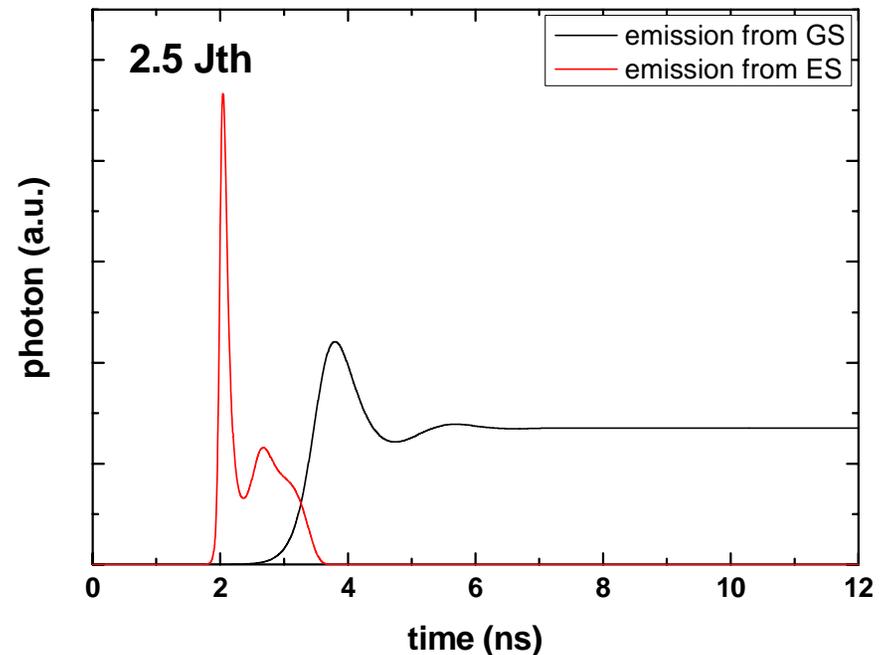
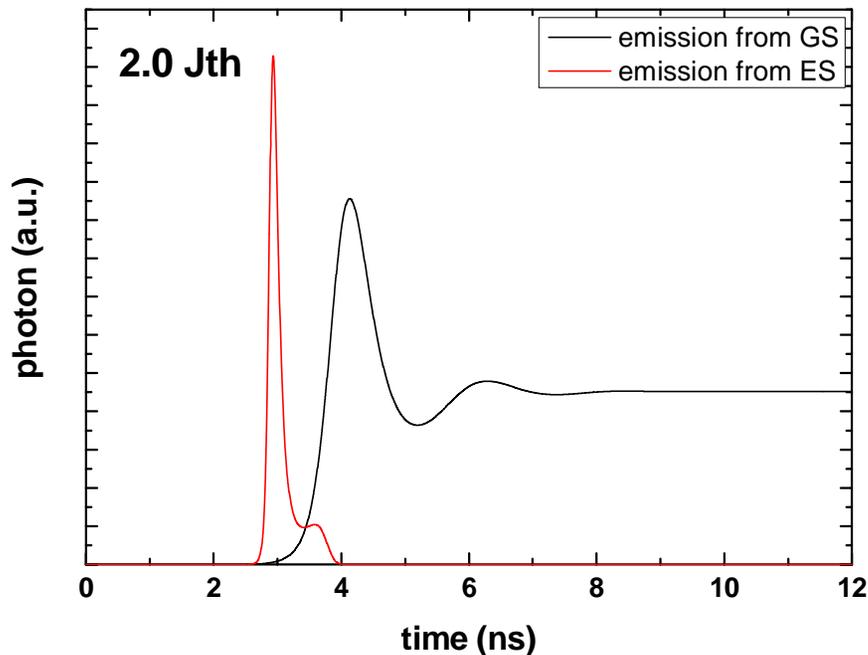
# Theoretical results (II)

- Large signal modulation
- Electrical was turn on at  $t = 0$ .



# Theoretical results (III)

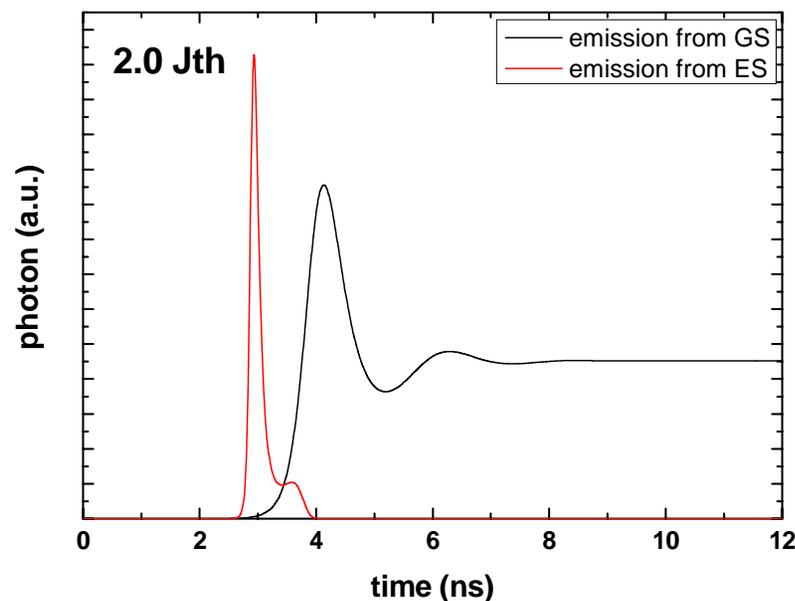
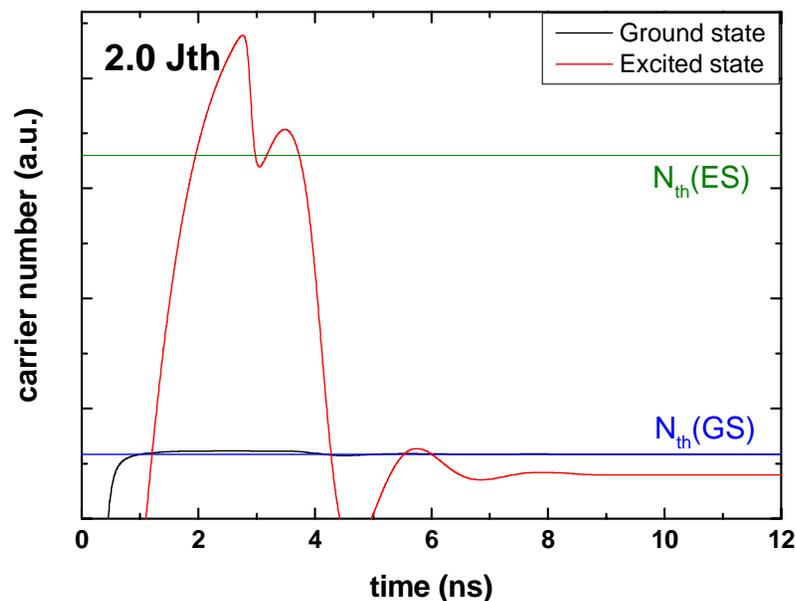
- Excited-state lasing occurs prior to ground-state lasing.
- Excited-state photons decrease after ground states start lasing.
- Only ground-state lase in steady states.



# Theoretical results (IV)

- $\tau_{WL \rightarrow g,n}$  and  $\tau_{e,n \rightarrow g,n}$  become larger.
- Ground-state carriers increase slowly.
- When ground-state finally achieve lasing, excited-state carriers can relax into ground states.

$$\tau_{WL \rightarrow g,n} \ \& \ \tau_{e,n \rightarrow g,n} \propto (1 - P_{n,g})$$



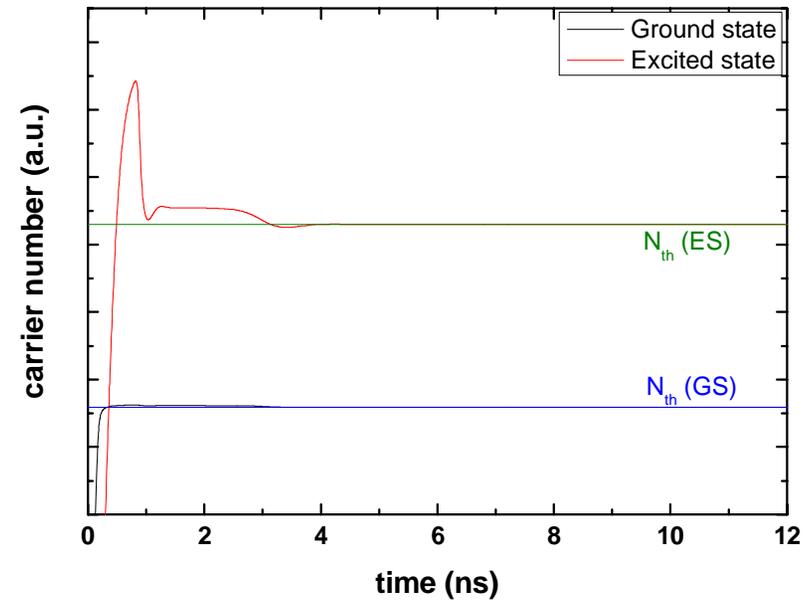
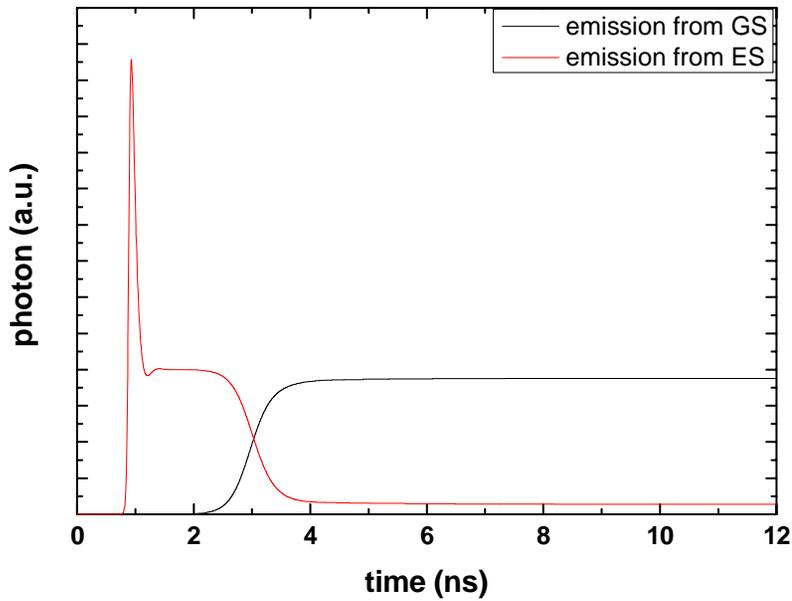
# Conclusions

- The details of dynamic phenomena of two-state lasing in quantum-dot lasers is theoretically demonstrated in this study.
- We show that excited states achieve lasing prior to ground states in transient behavior under certain conditions, especially when the optical loss level is close to ground-state saturation gain.
- This phenomenon is dramatically different from the static two-state lasing behavior in quantum-dot lasers.

Thank you for your attention.

# Theoretical results

- Excited-state lasing occurs prior to ground-state lasing.
- Excited-state photons decrease after ground states start lasing.
- Both ground-state and excited-state lase in steady states.



# Simulation parameters

$\Gamma_{homo} = 10 \text{ meV}$	$n_r = 3.3$
$\Gamma_{inhomo} = 25 \text{ meV}$	$N_D = 2.5 * 10^{23} \text{ m}^{-3}$
$\lambda_{GS} = 1305 \text{ nm}$	$\Gamma = 0.05$
$\lambda_{ES} = 1222 \text{ nm}$	$\beta = 0.896 * 10^{-4}$
$L_{ca} = 2000 \text{ }\mu\text{m}$	$V_A = 7.5 * 10^{-16} \text{ m}^3$
$R_1 = R_2 = 0.32$	$\tau_{0,WL \rightarrow g} = 10 \text{ ps}$
$\alpha_i = 5.2 \text{ cm}^{-1}$	$\tau_{0,WL \rightarrow e} = 5 \text{ ps}$
$\tau_{0,g} = 10 \text{ ps}$	$\tau_{0,e \rightarrow g} = 1 \text{ ps}$
$\tau_{0,e} = 7 \text{ ps}$	$\tau_s = 15 \text{ ps}$
$A = 7 * 10^8 \text{ s}^{-1}$	$C = 1.56 * 10^{-14} \text{ s}^{-1}$
$B = 0.067 \text{ s}^{-1}$	